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CH 9-2000-0077

## **A Fault-Tolerant Mobile Agent for a Computer Network**

### Field and Background of the Invention

The invention relates to a method of operating a mobile agent that travels through a network of a number of computers.

- 5 Such a mobile agent system is known, e.g. from A. Mohindra, A. Purakayastha and P. Thati: Exploiting non-determinism for reliability of mobile agent systems”, in Proc. of the Int. Conf. On Dependable Systems and Networks, pages 144 – 153, New York, June 2000.

One concern in connection with such a mobile agent system is the fact that failures may lead to blocking or a complete loss of the mobile agent. This problem may be solved by replication of the mobile agent. However, this leads to the so-called exactly-once execution problem which has to be fulfilled. In the above mentioned prior art document, this problem is solved by detecting multiple mobile agents at the end of any execution and by undoing all effects of multiple executions. However, such an undoing function is not simple and often limits the overall system throughput.

### Summary of the Invention

It is an object of the invention to provide a method of operating a mobile agent which is fault-tolerant without being too complex.

This object is solved by one aspect of the present invention, which provides a method of operating a mobile agent that travels through a network of a number of computers, wherein the mobile agent is executed in a sequence of stages and wherein each stage comprises a set of places, the method comprising the following steps: executing the mobile agent in at least one of the set of places of a respective one of the stages, evaluating in which place of the respective stage the mobile agent has been executed successfully, agreeing on this place among the set of places, aborting and/or undoing any operation in connection with the mobile agent in any other place of the respective stage, and moving the modified mobile agent resulting from the successful

execution to the next stage.

As well, this object is solved by the computer program product that contains instructions implementing the steps of the foregoing method, and still further, whereby the foregoing method steps are managed by a fault-tolerance enabler (FTE) which is independent of the mobile agent.

- 5 The invention uses the replication of the mobile agent so that a set of places is available within a sequence of stages in which the mobile agent is executed. In order to prevent blocking and to solve the exactly-once execution problem, the invention includes the idea to model the execution of the mobile agent and its replication as a sequence of agreement problems.

According to the invention, the mobile agent is executed in at least one of the set of places of a respective one of the stages. Then, it is evaluated in which place of the respective stage the mobile agent has been executed successfully. After this step, any operation in connection with the mobile agent in any other place of the respective stage is aborted and/or undone. Finally, the modified mobile agent resulting from the successful execution is moved to the next stage.

This method ensures that only exactly one execution of the mobile agent within the set of places of the respective stage is committed whereas all other possible executions are aborted and/or undone.

The implementation of the inventive method may preferably be done by a so-called fault-tolerance enabler (FTE) which may be programmed as an independent component but which may then travel to the places of the stages together with the mobile agent.

- 20 Further advantages and embodiments of the invention are apparent from the further claims and/or from the following description of the drawings.

#### Brief Description of the Drawings

Examples of the invention are depicted in the drawings and are described in detail below by way

of example. It is shown in

Figure 1a: a schematic representation of a method of operating a mobile agent according to an embodiment of the invention;

Figure 1b: a schematic representation of the method of figure 1a comprising a failure;

5 Figure 2: a schematic block diagram of a consensus method according to an embodiment of the invention; and

Figure 3: a schematic block diagram of an architecture of the mobile agent according to an embodiment of the invention.

All the figures are for sake of clarity not shown in real dimensions, nor are the relations between the dimensions shown in a realistic scale.

### **Detailed Description of Embodiments of the Invention**

In the following, the various exemplary embodiments of the invention are described.

A mobile agent is a computer program that acts autonomously on behalf of an agent owner or user and that travels through a network of a number of computers. Failures in such a system may lead to a blocking of the execution of the mobile agent or to a partial or complete loss of the mobile agent. As well, the agent owner often does not know whether the mobile agent is actually lost due to the failure or whether its execution has only been delayed due to slow computers. The agent owner may then believe that the mobile agent has been lost when in fact it has not been, or he waits for the mobile agent to finish when it has failed.

20 This uncertainty may be removed by a mobile agent with a fault-tolerant execution. The mobile agent then either reaches its destination or at least notifies a problem.

Such fault-tolerance may be gained by replicating the mobile agent. Replication of the mobile agent is similar to the addition of redundancy and enables the mobile agent to continue its execution despite failures. The blocking of the mobile agent, therefore, is prevented.

However, the replication of the mobile agent may lead to the violation of the so-called exactly-once execution property of the execution of the mobile agent. If, for example, a mobile agent is executed on a first computer and fails, then the first computer may survive, however, comprising modifications performed by the failing mobile agent. A replication of the mobile agent is then executed on a second computer performing modifications of the second computer. This results in modifications in the first and the second computer which contradicts the exactly-once execution property. This property is also violated if the failure of a mobile agent is detected, however, the mobile agent has actually not failed. In this case, the unreliable failure detection leads to a double execution of the mobile agent which, as mentioned, contradicts the exactly-once execution property.

The idea is to model the execution of the mobile agent and its replication as a sequence of agreement problems. For that purpose, the following assumptions are taken and explained now in connection with figure 1a.

As already described, a mobile agent  $a_i$  executes on a sequence of computers; wherein  $i = 0 \dots n$ . A place  $p_i$  provides a logical execution environment for the mobile agent  $a_i$  wherein each computer may host multiple places  $p_i$ . The execution of the mobile agent  $a_i$  at a place  $p_i$  is called a stage  $S_i$ . The replicas of the mobile agent  $a_i$  execute on different places  $p_i^j$  within one and the same stage  $S_i$ . Two stages  $S_i$  and  $S_{i+1}$  are separated by a move operation of the mobile agent  $a_i$ . The places  $p_i^j$  where the first and the last execution of the mobile agent  $a_i$  take place are called the source  $p_0^0$  and the destination  $p_n^0$  of the mobile agent  $a_i$  which may be identical.

According to figure 1a, the mobile agent  $a_0$  is executed in the place  $p_0^0$  of stage  $S_0$  which is the source of the mobile agent. Then, after successfully executing the mobile agent  $a_0$ , the agreement problem is solved by a decision  $\langle a_1, M_1 \rangle p_0^0$  in which  $a_1$  is the resulting mobile agent after executing the mobile agent  $a_0$  at the place  $p_0^0$  of the stage  $S_0$ ,  $M_1$  is the set of places  $p_1^j$  of the next

stage  $S_1$ , and  $p_0^0$  is that place of the stage  $S_0$  which has successfully executed the mobile agent  $a_0$ . The evaluation of the aforementioned decision will be explained later.

Due to this decision, the mobile agent  $a_1$  enters the next stage  $S_1$  at the place  $p_1^0$  and is executed there. According to figure 1a, the stage  $S_1$  comprises the further places  $p_1^1$ ,  $p_1^2$  and  $p_1^3$  in which replicas of the mobile agent  $a_1$  may be executed. However, after successfully executing the mobile agent  $a_1$  at place  $p_1^0$  of the stage  $S_1$ , the agreement problem is solved at once, i.e. it is agreed among the set  $M_1$  of places  $p_1^0$ ,  $p_1^1$ ,  $p_1^2$  and  $p_1^3$  that the place  $p_1^0$  has executed the mobile agent  $a_1$  successfully. This leads to a decision  $\langle a_2, M_2 \rangle p_1^0$  in which  $a_2$  is the resulting mobile agent after executing the mobile agent  $a_1$  at stage  $S_1$ ,  $M_2$  is the set of places  $p_2^j$  of the next stage  $S_2$ , and  $p_1^0$  is that place of the stage  $S_1$  which has successfully executed the mobile agent  $a_1$ .

According to figure 1a, this procedure is continued through the sequence of stages  $S_i$  until the destination of the mobile agent is reached. There, the mobile agent  $a_4$  enters the stage  $S_4$  and is executed in the only place  $p_4^0$ .

In figure 1a, no failure occurs. This means that none of the computers fails, none of the places fails, and the execution of none of the mobile agents fails. Moreover, no incorrect failure detection is present. Therefore, the mobile agent is always executed in the first place of any of those stages which comprise more than one place, i.e. in the places  $p_1^0$ ,  $p_2^0$  and  $p_3^0$  of the stages  $S_1$ ,  $S_2$  and  $S_3$ . Therefore, these places  $p_1^0$ ,  $p_2^0$  and  $p_3^0$  are also part of the respective decision after the execution of the mobile agents in the respective stages.

In contrast thereto, figure 1b comprises a failure of the place  $p_2^0$  of the stage  $S_2$ . This is depicted in figure 1b with the expression “crash”.

When the place  $p_2^1$  detects the failure of the place  $p_2^0$ , it executes a replica of the mobile agent  $a_2$ . It has to be mentioned that the place  $p_2^0$  is the first one in the sequence of the set  $M_2$  of the places  $p_2^0$ ,  $p_2^1$ ,  $p_2^2$  and  $p_2^3$  of the stage  $S_2$  which executes the mobile agent  $a_2$ . The next place  $p_2^1$  is able to monitor the execution of the mobile agent  $a_2$  in the preceding place  $p_2^0$ . Upon detection of a failure of the mobile agent  $a_2$  or the place  $p_2^0$ , the next place  $p_2^1$  starts executing the replica of the

mobile agent  $a_2$ .

After successfully executing the replica of the mobile agent  $a_2$  in the place  $p_2^1$  of the stage  $S_2$ , the agreement problem is solved. It is agreed among the set  $M_2$  of places  $p_2^0$ ,  $p_2^1$ ,  $p_2^2$  and  $p_2^3$  in which place the mobile agent has been executed successfully. As described, this is the place  $p_2^1$ . This leads to a decision  $\langle a_3, M_3 \rangle p_2^1$  in which  $a_3$  is the resulting mobile agent after executing the mobile agent  $a_2$  at stage  $S_2$ ,  $M_3$  is the set of places  $p_3^j$  of the next stage  $S_3$ , and  $p_2^1$  is that place of the stage  $S_2$  which has successfully executed the mobile agent  $a_2$ .

The important difference between figure 1a and figure 1b, therefore, is that the decision after stage  $S_2$  of figure 1b comprises the place  $p_2^1$  as successfully executing the mobile agent  $a_2$  whereas the decision after the stage  $S_2$  of figure 1a comprises the place  $p_2^0$ . The decision of figure 1b, therefore, recognizes the fact that the execution of the mobile agent  $a_2$  failed in the place  $p_2^0$  of stage  $S_2$  of figure 1b.

The decisions that are taken in each of the stages  $S_i$  of the figures 1a and 1b are evaluated by using a consensus method which will be explained now in connection with figure 2.

Figure 2 shows a stage  $S_i$  which may be any of the stages shown in figures 1a and 1b. The stage  $S_i$  comprises the corresponding mobile agent  $a_i$  and a so-called fault-tolerance enabler (FTE) as two independent components.

If the stage  $S_i$  is entered from a preceding stage, the FTE starts to solve the agreement problem for this stage  $S_i$  (see block 20). For that purpose, the block 20 initiates (see arrow 21) the operation of the stage  $S_i$  (see block 22), so that the mobile agent  $a_i$  is executed in the places  $p_i^j$  of the stage  $S_i$  sequentially. As soon as one of the places  $p_i^j$  successfully executes the mobile agent  $a_i$ , this is recognized by the block 20 of the FTE (see arrow 23). This successful place is agreed upon among the set  $M_i$  of places  $p_i^j$  and is then called the primary place  $p_i^{\text{prim}}$ .

The block 20 of the FTE then confirms to all places  $p_i^j$  of the stage  $S_i$  that the primary place  $p_i^{\text{prim}}$  is committed and that all other places have to abort and/or undo any operation in connection with

the mobile agent  $a_i$ .

Except for the primary place  $p_i^{\text{prim}}$ , any operation in connection with the mobile agent  $a_i$  is then aborted and/or undone (see block 24 and block 25). As soon as this phase is finished, this is recognized by the FTE (see arrow 26).

- 5 The decision of the agreement problem of the current stage  $S_i$  is then present in the FTE (see block 27). This decision was already described above. The aforementioned primary place  $p_i^{\text{prim}}$  is identical with those places of figures 1a and 1b which have successfully executed the respective mobile agent  $a_i$ . In particular, with regard to figure 1b, the primary place  $p_i^{\text{prim}}$  of stage  $S_2$  is the successful place  $p_2^1$  and not the failing place  $p_2^0$ .

The block 27 of the FTE then moves the resulting mobile agent  $a_{i+1}$  together with the generated decision, in particular together with the set  $M_{i+1}$  of the places  $p_{i+1}^j$  of the next stage  $S_{i+1}$  to this next stage  $S_{i+1}$  (see arrow 28). This move of the resulting mobile agent  $a_{i+1}$  is performed as a reliable forward function.

For that purpose, each place  $p_i^j$  of stage  $S_i$  sends a clone of the resulting mobile agent  $a_{i+1}$  to all places  $p_{i+1}^j$  of the stage  $S_{i+1}$ . In order to reduce communication overhead, it is possible that only the primary place  $p_i^{\text{prim}}$  of the stage  $S_i$  sends the resulting mobile agent  $a_{i+1}$  to all places  $p_{i+1}^j$  of the stage  $S_{i+1}$  and that all other places of the stage  $S_i$  only verify whether the resulting mobile agent  $a_{i+1}$  has arrived at the places  $p_{i+1}^j$  of the stage  $S_{i+1}$ , e.g. by accessing the corresponding value in a repository of these places  $p_{i+1}^j$ .

- 20 As shown in figure 2, the block 20 of the FTE then starts to solve the agreement problem for this next stage  $S_{i+1}$ .

The described consensus method is implemented with a so-called agent-dependent architecture. As shown in figure 3, the FTE is integrated into the mobile agent  $a_i$  and travels with it to the sequential places  $p_i^j$ . Only one instance of the FTE exists per mobile agent  $a_i$  which is initialized  
25 by the user-defined agent 30 at the source of the mobile agent  $a_i$ .



The FTE is composed of a stage agreement component 31, a reliable forwarding component 32 and a recovery component 33. The stage agreement component 31 performs the consensus method, the reliable forwarding component 32 is responsible for reliably forwarding the resulting mobile agent  $a_{i+1}$  to the next stage, and the recovery component 33 handles any necessary recovery in case the mobile agent  $a_i$  fails or arrives too late at one of the places  $p_i^j$ .

The FTE provides a FTE-specific application programming interface 34 for the communication with the user-defined agent 30. The respective place  $p_i^j$  provides a repository 35 and further services 36. The repository 35 is a location where place-specific information may be stored temporarily. For example, the decision generated by the FTE may be stored in the repository 35, in particular the primary place  $p_i^{\text{prim}}$ . This information can then be kept until all other places of the respective stage  $S_i$  are aware of this decision. The information may then be discarded after a certain time.